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<b>TRANSMITTAL FORM</b>  (to be used for all correspondence after initial filing)	Application Number	10/629,331
	Filing Date	July 29, 2003
	First Named Inventor	John J. Reese
	Art Unit	2183
	Examiner Name	
Total Number of Pages in This Submission	Attorney Docket Number	ENI-048

ENCLOSURES (Check all that apply)		
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<div>Remarks</div>		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT			
Firm Name	Verrill Dana, LLP		
Signature	<i>Chris A. Caseiro</i>		
Printed name	Chris A. Caseiro		
Date	August 31, 2005	Reg. No.	34,304

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Signature	<i>Chris A. Caseiro</i>		
Typed or printed name	Chris A. Caseiro	Date	August 31, 2005

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Appln. John J. Roesse et al.  
of:

Serial 10/629,331

No:

Filed: 07/29/2003

Entitled: SYSTEM AND METHOD FOR DYNAMIC  
NETWORK POLICY MANAGEMENT

Examiner:

Art Unit: 2183

Attorney Dkt: ENI-048

Paper No.: 2

Commissioner for Patents  
P.O. Box 1450  
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Dear Sir:

This Information Disclosure Statement is submitted in accordance with the duty of disclosure under 37 C.F.R. 1.56.

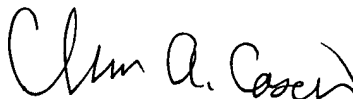
USPTO Form SB-08B (one sheet) is included herewith. Pursuant to current rules, copies of each of the Non-Patent Literature documents cited are included herewith.

Pursuant to 37 C.F.R. § 1.97, Applicants state that each item of information contained in this Information Disclosure Statement has been cited prior to receipt of the first office action therefore, no fee is required.

Applicants do not intend to represent that any of the documents submitted herein is material prior art to this invention or that the list represents an exhaustive search of documents related to this invention.

Applicants respectfully request that the documents submitted herein be considered and made of record in this application.

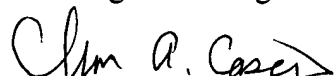
Respectfully submitted,



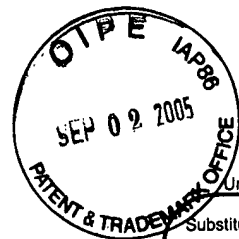
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Substitute for form 1449/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

### Complete if Known

Application Number	10/629,331
Filing Date	July 29, 2003
First Named Inventor	John J. Roese
Art Unit	2183
Examiner Name	
Attorney Docket Number	ENI-048

Sheet 1 of 1

### NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		ENTERASYS NETWORKS, Enterasys User Personalized Network, Enterasys white paper, Lit. #9012394, 2001, 17 pp, US	
		POSTEL, J., REYNOLDS, J., File Transport Protocol, IETF Network Working Group RFC 959, October 1985, 69 pp, The Internet Society, US	
		YAVATKAR, R., PENDARAKIS, D., GUERIN, R., A Framework For Policy-Based Admission Control, IETF Network Working Group RFC 2753, January 2000, 20 pp, The Internet Society, US	
		MOORE, B., et al., Policy Core Information Model Version 1 Specification, IETF Network Working Group RFC 3060, February 2001, 100 pp, The Internet Society, US	
		WESTERINEN, A., et al., Terminology For Policy-Based Management, IETF Network Working Group RFC 3198, November 2001, 21 pp, The Internet Society, US	

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

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